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Dec 1915

INVARIANTIVE CHARACTERIZATIONS OF LINEAR ALGEBRAS WITH THE ASSOCIATIVE LAW NOT ASSUMED

A DISSERTATION

SUBMITTED TO THE FACULTY OF THE OGDEN GRADUATE SCHOOL
OF SCIENCE IN CANDIDACY FOR THE DEGREE
OF DOCTOR OF PHILOSOPHY

DEPARTMENT OF MATHEMATICS

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BY
CYRUS COLTON MACDUFFEE

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VOL. 23, No. 2. March 1922

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EXCHANGES

INVARIANTIVE CHARACTERIZATIONS OF LINEAR ALGEBRAS
WITH THE ASSOCIATIVE LAW NOT ASSUMED*

BY

CYRUS COLTON MACDUFFEE

I. INTRODUCTION

We consider linear algebras in which neither the commutative nor the associative law of multiplication is assumed, and whose coördinates and constants of multiplication are numbers of a general field F . A rational integral invariant, or covariant, is a rational integral function of the constants of multiplication, or of the constants of multiplication and the coördinates of the general number, which has the invariantive property under the total group of linear homogeneous transformations on the units. If an invariantive function also actually involves the units, it has been called a vector covariant by Professor O. C. Hazlett,† who shows that every rational integral vector covariant can be obtained as a covariant of the general number of the algebra and a fundamental set of ordinary covariants.

In Section II of this article, it is shown how vector covariants may be formed directly from the constants of multiplication without assuming the knowledge of any ordinary covariants or invariants. To do this, the notion is introduced of a hypercomplex determinant whose elements obey neither the associative nor the commutative law of multiplication, and a few simple properties of such hypercomplex determinants are derived. From the vector covariants and the characteristic determinants of the algebra, ordinary relative invariants may easily be found.

In Section III the linear algebra in three units, one of which is a principle unit, is considered. Invariants and covariants of the algebra are calculated by the method of Section II, and a set of ten of these functions is shown to form a complete system of invariants and covariants from the standpoint of Lie.

In Section IV it is shown that for the example of Section III the arithmetic invariant denoting the rank can be replaced by a rational integral covariant. The generic case is defined as the case for which certain three covariants are

* Presented to the Society, March 25, 1921.

† These Transactions, vol. 19 (1918), p. 408.

different from zero, and this case is reduced by rational transformations in the general field to a canonical form. The parameters of the canonical form are characterized by invariants.

II. INVARIANTS AND VECTOR COVARIANTS

1. **Notations.** Consider the general linear algebra in n units whose multiplication table is given by

$$(1) \quad e_i e_j = \sum_{k=1}^{k=n} \gamma_{ijk} e_k \quad (i, j = 1, \dots, n),$$

and whose general number is $x = \sum_{i=1}^{i=n} x_i e_i$, where the γ_{ijk} and x_i are numbers of a general field F . Consider the transformation of units

$$(2) \quad T: e_i' = \sum_{j=1}^{j=n} \alpha_{ij} e_j \quad D \equiv |\alpha_{ij}| \neq 0 \quad (i = 1, \dots, n),$$

also with coefficients α_{ij} in F . This transformation T induces upon the constants γ_{ijk} of multiplication and the coördinates x_i a transformation S which carries γ_{ijk} into γ'_{ijk} and x_i into x'_i in such a way that

$$(3) \quad e_i' e_j' = \sum_{k=1}^{k=n} \gamma'_{ijk} e_k,$$

and

$$(4) \quad \sum_{j=1}^{j=n} x_j e_j = x = x' = \sum_{i=1}^{i=n} x'_i e'_i.$$

According to Professor Hazlett,* a vector covariant is defined to be a function of the units and the constants of multiplication and the coördinates of the form

$$v(\gamma_{ijk}; x_r; e_s) \quad (i, j, k, r, s = 1, \dots, n),$$

such that, under a transformation T of the units and its induced transformation S , there exists a relation of the form

$$(5) \quad v' \equiv v(\gamma'_{ijk}; x'_r; e'_s) = D^\mu v(\gamma_{ijk}; x_r; e_s) \quad (i, j, k, r, s = 1, \dots, n).$$

The integer μ is called the *weight* of the vector covariant v .

As Miss Hazlett noted,† it follows readily from (1) and (3) that every vector covariant is expressible linearly in the units, i. e.,

$$(6) \quad v' = \sum_{i=1}^{i=n} v'_i e'_i, \quad v = \sum_{i=1}^{i=n} v_i e_i,$$

where v'_i is the same function of the γ'_{ijk} and x'_r that v_i is of the γ_{ijk} and the x_r .

* These Transactions, vol. 19 (1918), p. 408.

† Ibid., p. 416.

2. **Theorem 1.** *The coefficients v_i of every vector covariant of weight μ expressed in the form $v = \sum_{i=1}^{i=n} v_i e_i$ are transformed cogrediently apart from the factor $D^{-\mu}$ with the coördinates x_i of the general number $x = \sum_{i=1}^{i=n} x_i e_i$ under a linear transformation of determinant D .*

From (5) and (6) we have

$$\sum_{i=1}^{i=n} v'_i e'_i = D^\mu \sum_{j=1}^{j=n} v_j e_j.$$

Making use of (2), we find that

$$\begin{aligned} \sum_{j=1}^{j=n} v_j e_j &= D^{-\mu} \sum_{i=1}^{i=n} v'_i \sum_{j=1}^{j=n} \alpha_{ij} e_j \\ &= \sum_{j=1}^{j=n} D^{-\mu} \sum_{i=1}^{i=n} v'_i \alpha_{ij} e_j. \end{aligned}$$

On account of the linear independence of the units e_j , which occur only where shown explicitly,

$$(7) \quad v_j = D^{-\mu} \sum_{i=1}^{i=n} v'_i \alpha_{ij} \quad (j = 1, \dots, n).$$

Similarly from (4) and (2) we have

$$(8) \quad x_j = \sum_{i=1}^{i=n} x'_i \alpha_{ij} \quad (j = 1, \dots, n).$$

Comparing (7) with (8), we see that the theorem is proved.

3. **Hypercomplex determinants.*** On account of the fact that multiplication is usually neither commutative nor associative, a determinant whose elements are hypercomplex numbers must be defined more precisely than a determinant whose elements are ordinary numbers. We define the general hypercomplex determinant of the n th order

$$(9) \quad D = \begin{vmatrix} a_{11} & \dots & a_{1n} \\ \dots & \dots & \dots \\ a_{n1} & \dots & a_{nn} \\ [a_{11} a_{22} \dots a_{nn}] \end{vmatrix}$$

to be the sum of $n!$ terms of the type

$$(-1)^k [a_{1i_1} a_{2i_2} \dots a_{ni_n}]$$

in which i_1, i_2, \dots, i_n is an arrangement of $1, 2, \dots, n$ derived from the natural order by k interchanges. The first subscripts must occur in their natural order in every term. The brackets indicate that the method of grouping the factors is arbitrary, but the same method is to be used in each term. A particular

* Determinants whose elements are quaternions and hence associative but not commutative were considered by Cayley, *On certain results relating to quaternions*, The Philosophical Magazine, vol. 26 (1845) pp. 141-145; and also by C. J. Joly, second edition of Hamilton's *Elements of Quaternions*, vol. 2, Appendix 1, p. 361.

hypercomplex determinant of the n th order is obtained by replacing the brackets inclosing the leading term by a particular grouping of that term, in which case it is understood that every term of the expansion is to be grouped in that way. Thus there are as many particular hypercomplex determinants of the n th order as there are ways of grouping n ordered factors.

4. **Lemma 1.** *Every hypercomplex determinant merely changes sign upon the interchange of any two columns.*

Consider the determinant (9). Its terms may be arranged in $\frac{1}{2}(n!)$ pairs of the type

$$(10) \quad \begin{aligned} & (-1)^k [a_{1i_1} \dots a_{ri} \dots a_{si_s} \dots a_{ni_n}], \\ & (-1)^{k+1} [a_{1i_1} \dots a_{ri_s} \dots a_{si_r} \dots a_{ni_n}], \end{aligned}$$

where the two terms in each pair differ only in having their i_r and i_s subscripts interchanged. Since each is obtainable from the other by one interchange of subscripts, they are opposite in sign. Let us denote D with its r th and s th columns interchanged by D' . Evidently this interchange leaves the first subscripts in their natural order but interchanges the second subscripts i_r and i_s wherever they occur, and so interchanges the absolute values of the terms in each pair (10). Thus every term in D with its sign changed is equal to a term of D' and vice versa. Then $D' = -D$. This argument depends in no way upon the manner of grouping, so the lemma holds for all hypercomplex determinants.

No analogous theorem exists concerning the interchange of two rows.

Lemma 2. *Any hypercomplex determinant two of whose columns are identical is zero.*

For by the interchange of the two identical columns the determinant is unaltered, and yet changes in sign.

5. **Lemma 3.** *A hypercomplex determinant the elements of whose j th column are binomials $a_{ij} + b_{ij}$ is equal to the sum of two determinants identical with the first except that the j th column of the one is composed of the a_{ij} while the j th column of the other is composed of the b_{ij} . The corresponding theorem holds for rows.*

Let us set

$$D = \begin{vmatrix} a_{11} & \dots & (b_{1r} + c_{1r}) & \dots & a_{1n} \\ \dots & \dots & \dots & \dots & \dots \\ a_{n1} & \dots & (b_{nr} + c_{nr}) & \dots & a_{nn} \end{vmatrix},$$

$$D_1 = \begin{vmatrix} a_{11} & \dots & b_{1r} & \dots & a_{1n} \\ \dots & \dots & \dots & \dots & \dots \\ a_{n1} & \dots & b_{nr} & \dots & a_{nn} \end{vmatrix}, \quad D_2 = \begin{vmatrix} a_{11} & \dots & c_{1r} & \dots & a_{1n} \\ \dots & \dots & \dots & \dots & \dots \\ a_{n1} & \dots & c_{nr} & \dots & a_{nn} \end{vmatrix},$$

where it is understood that the manner of grouping is arbitrary, but the same manner must be used consistently in every term of each determinant. It follows from the distributive law that

$$(11) \quad a_{1i_1} \dots (b_{si_s} + c_{si_s}) \dots a_{ni_n} = a_{1i_1} \dots b_{si_s} \dots a_{ni_n} + a_{1i_1} \dots c_{si_s} \dots a_{ni_n}$$

for all values of the subscripts and for all methods of grouping. It holds in particular for $i_s = r$ and $s = 1, \dots, n$ where r is fixed. Let k denote the number of interchanges necessary to obtain the order i_1, \dots, i_n from the natural order $1, \dots, n$. Then, multiplying (11) by $(-1)^k$ and summing for i_1, \dots, i_n , we have

$$D = D_1 + D_2,$$

which was to be proved.

We prove the corresponding theorem for rows by noting that (11) holds when s is fixed and i_s ranges over the values $1, \dots, n$, and summing as before.

6. Theorem 2. The determinant

$$V \equiv \begin{vmatrix} e_1 & \dots & e_n \\ \cdot & \cdot & \cdot \\ e_1 & \dots & e_n \end{vmatrix}$$

is a vector covariant of weight 1 of the linear algebra in n units e_1, \dots, e_n for every manner of grouping.

We set

$$V' \equiv \begin{vmatrix} e'_1 & \dots & e'_n \\ \cdot & \cdot & \cdot \\ e'_1 & \dots & e'_n \end{vmatrix}.$$

Under transformation (2) this becomes

$$V' = \begin{vmatrix} \sum_{i_1=1}^{i_1=n} \alpha_{1i_1} e_{i_1} & \dots & \sum_{i_n=1}^{i_n=n} \alpha_{ni_n} e_{i_n} \\ \cdot & \cdot & \cdot \\ \sum_{i_1=1}^{i_1=n} \alpha_{1i_1} e_{i_1} & \dots & \sum_{i_n=1}^{i_n=n} \alpha_{ni_n} e_{i_n} \end{vmatrix}.$$

From Lemma 3 we see that

$$V_1 = \sum_{i_1, \dots, i_n=1}^n \begin{vmatrix} \alpha_{1i_1} e_{i_1} & \dots & \alpha_{ni_n} e_{i_n} \\ \cdot & \cdot & \cdot \\ \alpha_{1i_1} e_{i_1} & \dots & \alpha_{ni_n} e_{i_n} \end{vmatrix}$$

By Lemma 2 such of these determinants as have two identical columns are zero. Moreover the α 's are numbers of the field F . Hence we may restrict the i_1, \dots, i_n to sets of n distinct values and write

$$V' = \sum_{i_1, \dots, i_n} \alpha_{1i_1} \dots \alpha_{ni_n} \begin{vmatrix} e_{i_1} & \dots & e_{i_n} \\ \cdot & \cdot & \cdot \\ e_{i_1} & \dots & e_{i_n} \end{vmatrix}.$$

Let k denote the number of interchanges necessary to produce the order i_1, \dots, i_n from the natural order of the integers $1, \dots, n$. By Lemma 1 the above determinant changes sign with each such interchange, that is with each interchange of two columns. Then

$$V' = \sum_{i_1, \dots, i_n} (-1)^k \alpha_{1i_1} \dots \alpha_{ni_n} \begin{vmatrix} e_1 & \dots & e_n \\ \cdot & \cdot & \cdot \\ e_1 & \dots & e_n \end{vmatrix}.$$

The above sum is exactly the determinant D of the transformation (2). Hence, as stated,

$$V' = DV.$$

7. Rational integral invariants. In the general linear algebra in n units the right- and left-hand characteristic determinants*

$$(12) \quad \begin{aligned} \delta(\omega) &= \left| \sum_{i=1}^{i=n} \gamma_{ijk} x_i - \delta_{jk} \omega \right|, \\ \delta'(\omega) &= \left| \sum_{j=1}^{j=n} \gamma_{ijk} x_j - \delta_{ik} \omega \right| \quad (i, j, k = 1, \dots, n), \end{aligned}$$

and the coefficients of the powers of ω therein are absolute covariants under the general group of homogeneous linear transformations on the units. In view of Theorem 2, there are conceivably as many vector covariants of weight 1 as there are ways of grouping n ordered factors, but it may happen in certain algebras that a smaller number results. Thus for commutative algebras they all vanish and for associative algebras they become identical. By means of the multiplication table (1) each such vector covariant can be expressed linearly in terms of the units, i. e., in the form (6). Suppose that p of them are linearly independent. By Theorem 1 the coefficients v_i are transformed cogrediently apart from the factor D^{-1} with the coördinates x_i of the general number. Therefore if these coefficients v_i be inserted in place of the x_i in a homogeneous absolute invariant of degree r , there results a relative invariant of the linear algebra of weight r . This method yields $2np$ relative invariants.

III. A LIE COMPLETE SYSTEM OF INVARIANTS AND COVARIANTS FOR A TERNARY ALGEBRA

8. Foreword. It will be shown that for the algebra with three units, one of which is a principal unit, the invariants formed by the method of the preceding section together with those obtained from the characteristic determinants by

* L. E. Dickson, *Linear Algebras*, Cambridge Tract No. 16 (Cambridge, 1914), p. 17 and p. 20.

the methods of the theory of invariants of forms constitute a complete system of invariants and covariants from the standpoint of Lie. In fact, if all the invariants obtainable by both methods are taken, there is considerable redundancy. A set of the simpler ones is shown to be composed of functionally independent invariants.

9. The finite transformations. In the linear algebra in three units 1, e_1 , e_2 , where 1 is a principal unit, the general number is of the form

$$(13) \quad x = x_0 + x_1 e_1 + x_2 e_2$$

where x_0, x_1, x_2 are numbers of a field F . The multiplication table may be taken to be

$$(14) \quad \begin{aligned} e_1^2 &= a_0 + a_1 e_2 + a_2 e_2, \\ e_2^2 &= b_0 + b_1 e_1 + b_2 e_2, \\ e_1 e_2 &= c_0 + c_1 e_1 + c_2 e_2, \\ e_2 e_1 &= d_0 + d_1 e_1 + d_2 e_2, \end{aligned}$$

where the coefficients are numbers of F . By applying the linear transformation of units

$$(15) \quad \begin{aligned} e'_1 &= \alpha_0 + \alpha_1 e_1 + \alpha_2 e_2, \\ e'_2 &= \beta_0 + \beta_1 e_1 + \beta_2 e_2, \end{aligned}$$

where the coefficients are numbers of F such that $D \equiv \alpha_1 \beta_2 - \alpha_2 \beta_1$ is different from zero, the principal unit is left invariant and the general number and multiplication table become (13) and (14) respectively with each letter primed. That is, the transformation (15) of units induces upon the coefficients the following transformation:

$$(16) \quad \begin{aligned} x'_0 &= x_0 + D^{-1}(\alpha_2 \beta_0 - \alpha_0 \beta_2)x_1 + D^{-1}(\alpha_0 \beta_1 - \alpha_1 \beta_0)x_2, \\ x'_1 &= D^{-1}\beta_2 x_1 - D^{-1}\beta_1 x_2, \\ x'_2 &= -D^{-1}\alpha_2 x_1 + D^{-1}\alpha_1 x_2, \\ a'_0 &= A + D^{-1}(\alpha_2 \beta_0 - \alpha_0 \beta_2)B + D^{-1}(\alpha_0 \beta_1 - \alpha_1 \beta_0)C, \\ a'_1 &= D^{-1}\beta_2 B - D^{-1}\beta_1 C, \\ a'_2 &= -D^{-1}\alpha_2 B + D^{-1}\alpha_1 C, \\ b'_0 &= E + D^{-1}(\alpha_2 \beta_0 - \alpha_0 \beta_2)F + D^{-1}(\alpha_0 \beta_1 - \alpha_1 \beta_0)G, \\ b'_1 &= D^{-1}\beta_2 F - D^{-1}\beta_1 G, \\ b'_2 &= -D^{-1}\alpha_2 F + D^{-1}\alpha_1 G, \\ c'_0 &= H + D^{-1}(\alpha_2 \beta_0 - \alpha_0 \beta_2)I + D^{-1}(\alpha_0 \beta_1 - \alpha_1 \beta_0)J, \\ c'_1 &= D^{-1}\beta_2 I - D^{-1}\beta_1 J, \\ c'_2 &= -D^{-1}\alpha_2 I + D^{-1}\alpha_1 J, \\ d'_0 &= K + D^{-1}(\alpha_2 \beta_0 - \alpha_0 \beta_2)L + D^{-1}(\alpha_0 \beta_1 - \alpha_1 \beta_0)M, \\ d'_1 &= D^{-1}\beta_2 L - D^{-1}\beta_1 M, \\ d'_2 &= -D^{-1}\alpha_2 L + D^{-1}\alpha_1 M, \end{aligned}$$

where

$$\begin{aligned}
 A &\equiv \alpha_0^2 + \alpha_1^2 a_0 + \alpha_2^2 b_0 + \alpha_1 \alpha_2 c_0 + \alpha_1 \alpha_2 d_0, \\
 B &\equiv \alpha_1^2 a_1 + \alpha_2^2 b_1 + 2\alpha_0 \alpha_1 + \alpha_1 \alpha_2 c_1 + \alpha_1 \alpha_2 d_1, \\
 C &\equiv \alpha_1^2 a_2 + \alpha_2^2 b_2 + 2\alpha_0 \alpha_2 + \alpha_1 \alpha_2 c_2 + \alpha_1 \alpha_2 d_2, \\
 E &\equiv \beta_0^2 + \beta_1^2 a_0 + \beta_2^2 b_0 + \beta_1 \beta_2 c_0 + \beta_1 \beta_2 d_0, \\
 F &\equiv \beta_1^2 a_1 + \beta_2^2 b_1 + 2\beta_0 \beta_1 + \beta_1 \beta_2 c_1 + \beta_1 \beta_2 d_1, \\
 G &\equiv \beta_1^2 a_2 + \beta_2^2 b_2 + 2\beta_0 \beta_2 + \beta_1 \beta_2 c_2 + \beta_1 \beta_2 d_2, \\
 H &\equiv \alpha_0 \beta_0 + \alpha_1 \beta_1 a_0 + \alpha_2 \beta_2 b_0 + \alpha_1 \beta_2 c_0 + \alpha_2 \beta_1 d_0, \\
 I &\equiv \alpha_1 \beta_0 + \alpha_0 \beta_1 + \alpha_1 \beta_1 a_1 + \alpha_2 \beta_2 b_1 + \alpha_1 \beta_2 c_1 + \alpha_2 \beta_1 d_1, \\
 J &\equiv \alpha_2 \beta_0 + \alpha_0 \beta_2 + \alpha_1 \beta_1 a_2 + \alpha_2 \beta_2 b_2 + \alpha_1 \beta_2 c_2 + \alpha_2 \beta_1 d_2, \\
 K &\equiv \alpha_0 \beta_0 + \alpha_1 \beta_1 a_0 + \alpha_2 \beta_2 b_0 + \alpha_2 \beta_1 c_0 + \alpha_1 \beta_2 d_0, \\
 L &\equiv \alpha_0 \beta_1 + \alpha_1 \beta_0 + \alpha_1 \beta_1 a_1 + \alpha_2 \beta_2 b_1 + \alpha_2 \beta_1 c_1 + \alpha_1 \beta_2 d_1, \\
 M &\equiv \alpha_0 \beta_2 + \alpha_2 \beta_0 + \alpha_1 \beta_1 a_2 + \alpha_2 \beta_2 b_2 + \alpha_2 \beta_1 c_2 + \alpha_1 \beta_2 d_2.
 \end{aligned}$$

10. The Lie group. The generators* of the infinitesimal transformation corresponding to the finite transformation (16) are found to consist of six partial differential equations which it is not necessary to give here in detail. The first term of each equation involves only the x_i , and is the only term in which the x_i occur. Since these equations are generators of an infinitesimal group corresponding to the finite group of transformations (16), they form *a fortiori* a complete system of partial differential equations. The six equations in fifteen variables have nine functionally independent solutions, and these solutions form a complete set of absolute invariants and covariants of the linear algebra (14).

Moreover, if the terms involving x_0, x_1, x_2 in these equations be deleted, there results another complete system of partial differential equations, the generators of the group corresponding to the group of transformations in (16) on the constants of multiplication only. This system has six functionally independent solutions, the six absolute invariants of the linear algebra. They are six of the nine solutions of the first complete system. We see then that there are exactly six absolute invariants and three absolute covariants in a complete system of invariants and covariants for the algebra. There are then no more than seven functionally independent relative invariants. A complete system will be exhibited of three absolute covariants and seven relative invariants whose jacobian does not vanish identically.

11. Invariants of the characteristic determinants. The right- and left-hand characteristic determinants (12) become

$$\begin{aligned}
 \delta(\omega) &= -\omega^3 + \Lambda\omega^2 - \Gamma\omega + \Delta, \\
 \delta'(\omega) &= -\omega^3 + \Lambda'\omega^2 - \Gamma'\omega + \Delta',
 \end{aligned}$$

* Lie-Scheffers, *Vorlesungen über continuierliche Gruppen*, Leipzig, 1893, p. 716, et seq.

where

$$\begin{aligned}
 \Lambda &= 3x_0 + (a_1 + c_2)x_1 + (d_1 + b_2)x_2, \\
 \Gamma &= 3x_0^2 + (a_1c_2 - a_2c_1 - a_0)x_1^2 + (b_2d_1 - b_1d_2 - b_0)x_2^2 + (2a_1 \\
 &+ 2c_2)x_0x_1 + (2b_2 + 2d_1)x_0x_2 + (a_1b_2 - a_2b_1 + c_2d_1 - c_1d_2 - d_0 - c_0)x_1x_2, \\
 (17) \quad \Delta &= x_0^3 + (a_2c_0 - a_0c_2)x_1^3 + (b_1d_0 - b_0d_1)x_2^3 + (a_1c_2 - a_2c_1 \\
 &- a_0)x_0x_1^2 + (a_1 + c_2)x_0^2x_1 + (b_2 + d_1)x_0^2x_2 + (b_2d_1 - b_1d_2 - b_0)x_0x_2^2 \\
 &+ (c_0d_2 - c_2d_0 + a_2b_0 - a_0b_2 + a_0c_1 - a_1c_0)x_1^2x_2 + (b_0d_2 - b_2d_0 + a_0b_1 \\
 &- a_1b_0 + c_1d_0 - c_0d_1)x_1x_2^2 + (c_2d_1 - c_1d_2 + a_1b_2 - a_2b_1 - c_0 \\
 &- d_0)x_0x_1x_2,
 \end{aligned}$$

and Λ' , Γ' , Δ' are obtained from Λ , Γ , Δ respectively by interchanging c_i and d_i , for $i = 0, 1, 2$. Each of these six expressions satisfies the equations of §10 and is an absolute covariant of the algebra.

The invariants of the six ternary forms (17) are invariants of the algebra. Λ and Λ' have no invariants. Γ and Γ' have one invariant each, their Hessians. The ternary cubic forms Δ and Δ' each have two relative invariants, the S and T of Aronhold.* But only six of the seven invariants have been accounted for by this means, even if all six should prove to be independent. Thus it is evident that the invariants obtainable as the invariants of the coefficients of the characteristic determinants are not sufficient to form a complete system.

12. Additional invariants by the method of Section II. By Theorem 2 of §6, the hypercomplex determinant

$$V \equiv \begin{vmatrix} 1 & e_1 & e_2 \\ 1 & e_1 & e_2 \\ 1 & e_1 & e_2 \end{vmatrix} = e_1e_2 - e_2e_1$$

is a vector covariant of weight 1. By the multiplication table (14) this can be expressed as

$$(18) \quad V = (c_0 - d_0) + (c_1 - d_1)e_1 + (c_2 - d_2)e_2.$$

Now by Theorem 1, §2, the coefficients of the units in (18) are transformed co-grediently apart from the factor D^{-1} with the coefficients x_0, x_1, x_2 of the general number, and hence when substituted for these variables in (17) give relative invariants of the algebra.† Thus we have

$$\begin{aligned}
 \Lambda_1 &= 3(c_0 - d_0) + (a_1 + c_2)(c_1 - d_1) + (d_1 + b_2)(c_2 - d_2), \\
 \Lambda'_1 &= 3(c_0 - d_0) + (a_1 + d_2)(c_1 - d_1) + (c_1 + b_2)(c_2 - d_2), \\
 (19) \quad \Gamma_2 &= 3(c_0 - d_0)^2 + (a_1c_2 - a_2c_1 - a_0)(c_1 - d_1)^2 + \dots, \\
 \Gamma'_2 &= 3(c_0 - d_0)^2 + (a_1d_2 - a_2d_1 - a_0)(c_1 - d_1)^2 + \dots, \\
 \Delta_3 &= (c_0 - d_0)^3 + (a_2c_0 - a_0c_2)(c_1 - d_1)^3 + \dots, \\
 \Delta'_3 &= (c_0 - d_0)^3 + (a_2d_0 - a_0d_2)(c_1 - d_1)^3 + \dots.
 \end{aligned}$$

* Salmon, *Higher Plane Curves*, Dublin, 1897, pp. 191-192.

† Throughout this article the subscript on the symbol for an invariant indicates its weight.

Each of these forms is transformed into a function of itself by the differential operators of §10.

Evidently there are functional relations between the twelve relative invariants which these methods yield, since it has been shown that there can be but seven functionally independent invariants. This redundancy makes unnecessary the use of the complicated S and T invariants of Δ and Δ' . The S invariant of $\Delta - \Delta'$ is quite simple however, and will be used.

13. A complete system of invariants. It will be shown that the following three covariants and seven invariants form a complete system:

$$(20) \quad \begin{aligned} \Lambda, \Lambda', \Theta &\equiv \Gamma - \Gamma', H_2 \equiv \text{hessian of } \Gamma, H_2' \equiv \text{hessian of } \Gamma', \\ S_4 &\equiv S \text{ of } \Delta - \Delta', \Lambda_1, \Gamma_2, \Gamma_2', \Delta_3. \end{aligned}$$

Since we have only ten relations in fifteen variables, it is sufficient to show that they are independent when five of these variables are put equal to constants. It is found convenient to set $a_0 = a_1 = c_2 = d_2 = 0$ and $a_2 = 1$. In fact this normalization can be made upon $\Gamma, \Gamma', \Delta, \Delta'$ before H_2, H_2' , and S_4 are calculated. The ten invariants (20) then reduce to the fairly simple forms:

$$(21) \quad \begin{aligned} \Lambda &= 3x_0 + (b_2 + d_1)x_2, \\ \Lambda' &= 3x_0 + (b_2 + c_1)x_2, \\ \Theta &= -(c_1 - d_1)x_1^2 - b_2(c_1 - d_1)x_2^2 - 2(c_1 - d_1)x_0x_2, \\ H_2 &= 4c_1(d_1^2 - b_2d_1 + b_2^2) + 12b_0c_1 - 3b_1^2 - 6b_1(c_0 + d_0) \\ &\quad - 3(c_0 + d_0)^2, \\ H_2' &= 4d_1(c_1^2 - b_2c_1 + b_2^2) + 12b_0d_1 - 3b_1^2 - 6b_1(c_0 + d_0) \\ &\quad - 3(c_0 + d_0)^2, \\ S_4 &= (c_1 - d_1)^2/81 [3b_2(c_0 - d_0)^2 + 6(c_0 - d_0)(c_1d_0 - c_0d_1) \\ &\quad + 3b_1(c_0 - d_0)(c_1 - d_1) - (c_1 - d_1)^2(b_2^2 + 3b_0)], \\ \Lambda_1 &= 3(c_0 - d_0), \\ \Gamma_2 &= 3(c_0 - d_0)^2 - c_1(c_1 - d_1)^2, \\ \Gamma_2' &= 3(c_0 - d_0)^2 - d_1(c_1 - d_1)^2, \\ \Delta_3 &= (c_0 - d_0)^3 + c_0(c_1 - d_1)^3 - c_1(c_0 - d_0)(c_1 - d_1)^2, \end{aligned}$$

14. Independence of the invariants. To prove the independence of these ten invariants it is sufficient to prove that the jacobian

$$(22) \quad \frac{\partial(\Lambda, \Lambda', \Theta, H_2, H_2', S_4, \Lambda_1, \Gamma_2, \Gamma_2', \Delta_3)}{\partial(x_0, x_1, x_2, b_0, b_1, b_2, c_0, c_1, d_0, d_1)}$$

does not vanish identically. Now only the first three of these polynomials (21) involve x_0, x_1, x_2 , and only the first six involve b_0, b_1, b_2 . Hence it follows from considering the Laplace development that the jacobian (22) factors into three factors, viz.,

$$\frac{\partial(\Lambda, \Lambda', \Theta)}{\partial(x_0, x_1, x_2)} \times \frac{\partial(H_2, H_2', S_4)}{\partial(b_0, b_1, b_2)} \times \frac{\partial(\Lambda_1, \Gamma_2, \Gamma_2', \Delta_3)}{\partial(c_0, c_1, d_0, d_1)}.$$

It is sufficient to show that no one of these three jacobians vanishes identically in c_1 , and hence it is sufficient to show that the coefficient of the highest power of c_1 in each jacobian is not identically zero. It is then permissible to drop all terms in each element except those involving c_1 to the highest power to which it occurs in that element; for the other terms evidently cannot enter into the term of highest degree in c_1 in the expansion of the determinant. It is important to be sure, however, that the coefficient of the highest power does not vanish, for this method does not give the coefficients of lower powers correctly. By this method it is easy to show that

$$\frac{\partial(\Lambda, \Lambda', \Theta)}{\partial(x_0, x_1, x_2)} = 6x_1c_1^2 + \text{lower powers of } c_1,$$

$$\frac{\partial(H_2, H_2', S_4)}{\partial(b_0, b_1, b_2)} = -\frac{16}{9}d_1(c_0 - d_0)c_1^5 + \text{lower powers of } c_1,$$

$$\frac{\partial(\Lambda_1, \Gamma_2, \Gamma_2', \Delta_3)}{\partial(c_0, c_1, d_0, d_1)} = -9c_1^7 + \text{lower powers of } c_1.$$

Then the jacobian (22) becomes

$$96x_1d_1(c_0 - d_0)c_1^{14} + \text{lower powers of } c_1,$$

and the ten polynomials (20) are functionally independent and form a complete system of invariants and covariants of the linear algebra (14) from the stand-point of Lie.

IV. CHARACTERIZATION BY INVARIANTS OF A CANONICAL FORM

15. The rank covariant. Let us consider the algebra in three units 1, e_1 , e_2 whose general number and multiplication table are given by (13) and (14) respectively. The rank* of every such algebra is three or two according as every number does not or does satisfy a quadratic equation. This rank is an arithmetic invariant under every linear transformation of units (15). It will be shown that for this example the arithmetic rank invariant can be replaced by a rational covariant.

* There is an equation $\rho(\omega) = 0$ of lowest degree having X as a right-hand (left-hand) root. The degree of this equation is called the right-hand (left-hand) rank of the algebra. (Cf. Dickson, *Linear Algebras*, p. 23.) It can be shown that there is at least one number satisfying no equation of lower degree.

In every algebra of rank 3 with a principal unit, there is some number x which does not satisfy a quadratic equation. Then the powers 1, x , x^2 are linearly independent and may be taken as the units 1, e_1 , e_2 . That is, the multiplication table of every rank 3 algebra in these units has the form (14) with $a_0 = a_1 = 0$, $a_2 = 1$. Conversely, every algebra of this form is of rank 3. Evidently, then, a necessary and sufficient condition that an algebra (14) be of rank 3 is that it be possible to make the above normalization.

From equations (16) it is seen that the conditions on a transformation (15) which shall make $a'_0 = a'_1 = 0$ and $a'_2 = 1$ are

$$\begin{aligned} A + D^{-1}(\alpha_2\beta_0 - \alpha_0\beta_2)B + D^{-1}(\alpha_0\beta_1 - \alpha_1\beta_0)C &= 0, \\ D^{-1}\beta_2B - D^{-1}\beta_1C &= 0, \\ -D^{-1}\alpha_2B + D^{-1}\alpha_1C &= 1. \end{aligned}$$

These conditions are readily found to be equivalent to

$$(23) \quad \beta_0 = A, \quad \beta_1 = B, \quad \beta_2 = C,$$

where A , B , C , given in (16), are polynomials in the α 's and the constants of multiplication. Thus the α 's can be chosen arbitrarily and the β 's calculated from the above relations, provided only that the determinant of the transformation

$$(24) \quad D = a_2\alpha_1^3 + (-a_1 + c_2 + d_2)\alpha_1^2\alpha_2 + (b_2 - c_1 - d_1)\alpha_1\alpha_2^2 - b_1\alpha_2^3$$

be different from zero. Now α_1 and α_2 can evidently be chosen so that D is not zero unless every coefficient of D vanishes. Then a necessary and sufficient condition that the algebra be of rank 3 is that not every coefficient a_2 etc. of (24) vanish.

It will now be shown that there exists a covariant Φ whose coefficients are the coefficients of (24). From (17) we form the absolute covariant

$$\Gamma' = \Gamma + \frac{1}{3}(\Lambda^2 - \Lambda'^2)$$

every term of which involves $(c_1 - d_1)$ or $(c_2 - d_2)$. It was shown in (18) that $(c_0 - d_0)$, $(c_1 - d_1)$, $(c_2 - d_2)$ are transformed cogrediently apart from the factor D^{-1} with x_0 , x_1 , x_2 , so we may substitute x_1 and x_2 respectively for these expressions and obtain a relative invariant of weight -1,

$$\Phi \equiv a_2x_1^3 + (-a_1 + c_2 + d_2)x_1^2x_2 + (b_2 - c_1 - d_1)x_1x_2^2 - b_1x_2^3,$$

whose coefficients are the coefficients of (24). Hence a necessary and sufficient condition that an algebra (14) be of rank 3 is that Φ does not vanish identically.

16. **Determination of a canonical form.** We shall designate as the generic case that case for which neither Φ , $\Gamma_2 - \Gamma_2'$, nor $\Lambda - \Lambda'$ vanishes identically, and reduce this generic case by rational transformations in the general field F to a canonical form—i. e., to a form in which each remaining coefficient is a relative invariant under the most general transformation which does not destroy the normalization. Since Φ is not identically zero, the multiplication table can at once be reduced as in §15. Then from (23) we see that in order to preserve this normalization the β 's must obey the conditions:

$$(25) \quad \begin{aligned} \beta_0 &= \alpha_0^2 + \alpha_2^2 b_0 + \alpha_1 \alpha_2 (c_0 + d_0), \\ \beta_1 &= \alpha_2^2 b_1 + 2\alpha_0 \alpha_1 + \alpha_1 \alpha_2 (c_1 + d_1), \\ \beta_2 &= \alpha_1^2 + \alpha_2^2 b_2 + 2\alpha_0 \alpha_2 + \alpha_1 \alpha_2 (c_2 + d_2). \end{aligned}$$

If $c_2 - d_2$ is not already zero, it is possible to effect a transformation making $c_2' - d_2'$ equal to zero. From (16) it is seen that under every transformation,

$$(26) \quad c_2' - d_2' = -\alpha_2(c_1 - d_1) + \alpha_1(c_2 - d_2).$$

Therefore if we choose α_1 and α_2 satisfying the conditions

$$(27) \quad \begin{aligned} \alpha_1(c_2 - d_2) &= \alpha_2(c_1 - d_1), \\ D &= \alpha_1^3 + (c_2 + d_2)\alpha_1^2 \alpha_2 + (b_2 - c_1 - d_1)\alpha_1 \alpha_2^2 - b_1 \alpha_2^3 \neq 0, \end{aligned}$$

and the β 's according to (25), we have the required transformation. Multiplying the second equation of (27) by $(c_2 - d_2)^3$ and eliminating α_1 by means of the first equation, we have

$$\begin{aligned} D &= \alpha_1^3 [(c_1 - d_1)^3 + (c_2 + d_2)(c_2 - d_2)(c_1 - d_1)^2 \\ &\quad + (b_2 - b_1 - c_1 - d_1)(c_2 - d_2)^3]. \end{aligned}$$

Hence this normalization is possible if and only if the expression in brackets is different from zero. But this expression is precisely the reduced form of the invariant $\Gamma_2' - \Gamma_2$ which we have assumed not zero.

Since the covariant

$$\Lambda - \Lambda' = (c_2 - d_2)x_1 - (c_1 - d_1)x_2$$

does not vanish identically for this case, we know that $c_1 - d_1$ is not zero. From (26) it is then seen that a necessary and sufficient condition on a transformation that shall leave this normalization undisturbed is that $\alpha_2 = 0$. Then conditions (25) require that $\alpha_1 \neq 0$, $\alpha_2 = 0$, $\beta_0 = \alpha_0^2$, $\beta_1 = 2\alpha_0 \alpha_1$, $\beta_2 = \alpha_1^2$, and hence $D = \alpha_1^3$. We see from (16) that by (15)

$$(28) \quad c_2' = 3\alpha_0 \alpha_1 + \alpha_1^2 c_2,$$

so let us now apply the transformation

$$\begin{pmatrix} \alpha_0 & \alpha_1 & \alpha_2 \\ \beta_0 & \beta_1 & \beta_2 \end{pmatrix} = \begin{pmatrix} -c_2/3 & 1 & 0 \\ c_2^2/9 & -2c_2/3 & 1 \end{pmatrix}$$

which reduces c_2' to zero. Dropping accents, we obtain the canonical form of the generic case for rational transformations, viz.,

$$(29) \quad \begin{aligned} e_1^2 &= e_2, \\ e_2^2 &= b_0 + b_1 e_1 + b_2 e_2, \\ e_1 e_2 &= c_0 + c_1 e_1, \\ e_2 e_1 &= d_0 + d_1 e_1, \end{aligned} \quad c_1 - d_1 \neq 0.$$

It is evident from (28) that for $c_2 = 0$ and $\alpha_1 \neq 0$, a necessary and sufficient condition that $c_2' = 0$ is that $\alpha_0 = 0$. Then the most general transformation which will leave (29) unaltered in form is of the type

$$\begin{pmatrix} 0 & \alpha_1 & 0 \\ 0 & 0 & \alpha_1^2 \end{pmatrix}, \quad D = \alpha_1^3.$$

The effect of this transformation on the coefficients of (29) is to make

$$(30) \quad \begin{aligned} b_0' &= \alpha_1^4 b_0, & b_1' &= \alpha_1^3 b_1, & b_2' &= \alpha_1^2 b_2, & c_0' &= \alpha_1^3 c_0, & c_1' &= \alpha_1^2 c_1, \\ d_0' &= \alpha_1^3 d_0, & d_1' &= \alpha_1^2 d_1. \end{aligned}$$

Hence no further reduction by rational transformations is possible, although by a transformation in general irrational any one of these coefficients which is not zero can be reduced to unity. Then the other six are parameters which cannot be altered except by a factor which is a root of unity.

17. Characterization of parameters. It is seen from (30) that the most that any transformation can do to (29), preserving its form, is to multiply each coefficient by a power of the determinant. It is natural to expect then that each coefficient in (29) is the reduced form of a relative invariant of the original form (14). We shall expect c_0 , d_0 and b_1 to be relative invariants of weight 1; c_1 , d_1 and b_2 of weight $\frac{2}{3}$; and b_0 of weight $\frac{4}{3}$. We prove that this is so by actually finding these invariants in terms of known invariants. The invariants which characterize c_0 , c_1 , d_0 , d_1 will be denoted by, respectively, C^0 , C' , D^0 , D' .

Making the normalization indicated in (29), we find that the invariants (19) reduce to the forms

$$(31) \quad \begin{aligned} \Lambda_1 &= \Lambda_1' = 3(c_0 - d_0), \\ \Gamma_2 &= 3(c_0 - d_0)^2 - c_1(c_1 - d_1)^2, \\ \Gamma_2' &= 3(c_0 - d_0)^2 - d_1(c_1 - d_1)^2, \\ \Delta_3 &= \Delta_3' = (c_0 - d_0)^3 + c_0(c_1 - d_1)^3 - c_1(c_0 - d_0)(c_1 - d_1)^2. \end{aligned}$$

We have exactly four relations to determine four unknowns. We find c_0 in terms of the reduced invariants (31) and define C^0 to be the corresponding function of the complete invariants, so that C^0 is an invariant which reduces to c_0 under the normalization (29). Similarly we find invariants which reduce to the other parameters. Thus, since $\Gamma_2' - \Gamma_2 \neq 0$,

$$\begin{aligned} C^0 &= \frac{\Delta_3 - \frac{1}{27} \Lambda_1^3 + \frac{1}{3} \Lambda_1 (\frac{1}{3} \Lambda_1^2 - \Gamma_2)}{\Gamma_2' - \Gamma_2}, \\ D^0 &= \frac{\Delta_3 - \frac{1}{27} \Lambda_1^3 + \frac{1}{3} \Lambda_1 (\frac{1}{3} \Lambda_1^2 - \Gamma_2')}{\Gamma_2' - \Gamma_2}, \\ C' &= \frac{\frac{1}{3} \Lambda_1^2 - \Gamma_2}{(\Gamma_2' - \Gamma_2)^{\frac{2}{3}}}, \quad D' = \frac{\frac{1}{3} \Lambda_1^2 - \Gamma_2'}{(\Gamma_2^2 - \Gamma_2)^{\frac{2}{3}}} \end{aligned}$$

Evidently the weights are as predicted.

18. Equations defining the remaining parameters. Let us now consider the problem of finding invariants which characterize b_0, b_1, b_2 . In addition to the invariants (31) we use the Hessians H_2 and H_2' of Γ and Γ' , and the invariants S_4 and T_6 of $\Delta - \Delta'$. Under the normalization (29) we find

$$(32) \quad \begin{aligned} (a) \quad &H_2, \quad (b) \quad H_2', \quad (c) \quad S_4 \text{ as given by (21),} \\ (d) \quad &T_6 = 4l^2mp^3 - 3l^2r^2p^2 + 6p^2lqrs - 12mrpq^3 + 8q^3r^3 - 27p^2q^2s^2, \end{aligned}$$

where

$$\begin{aligned} l &= c_0 - d_0, \quad m = b_0(c_1 - d_1) - b_1(c_0 - d_0), \quad p = \frac{-1}{3}(c_1 - d_1), \\ q &= \frac{-1}{3}(c_1 - d_1), \quad s = \frac{1}{3}[b_2(c_0 - d_0) + 2c_1d_0 - 2c_0d_1], \\ r &= \frac{-1}{3}b_2(c_1 - d_1). \end{aligned}$$

Making use of the relation (33) in (32c), we have (34):

$$(33) \quad H_2 - H_2' = 4(c_1 - d_1)(b_2^2 + 3b_0 - c_1d_1);$$

$$(34) \quad \begin{aligned} b_1(c_0 - d_0)(c_1 - d_1) + b_2(c_0 - d_0)^2 &= \frac{1}{3}[81S_4/(c_1 - d_1) \\ &- 6(c_0 - d_0)(c_1d_0 - c_0d_1) + \frac{1}{4}(c_1 - d_1)(H_2 - H_2') + c_1d_1(c_1 - d_1)^2]. \end{aligned}$$

Multiplying (32b) by c_1 and subtracting this product from the product of (32b) by d_1 , we obtain

$$(35) \quad \begin{aligned} 3b_1^2 + 6b_1(c_0 + d_0) + 4b_2c_1d_1 &= (d_1H_2 - c_1H_2')/(c_1 - d_1) \\ &+ 4c_1d_1(c_1 + d_1) - 3(c_0 + d_0)^2. \end{aligned}$$

Directly from (33) comes

$$(36) \quad b_2^2 + 3b_0 = \frac{1}{(4c_1 - 4d_1)} [H_2 - H_2' + 4c_1d_1(c_1 - d_1)].$$

Now c_0, d_0, c_1, d_1 are the values which the known invariants C^0, D^0, C', D' take when the general algebra becomes (29). Consider functions B^0, B', B'' of the coefficients of the general algebra such that B^0 has the value b_0 , B' the value b_1 and B'' the value b_2 when the general algebra becomes (29). Then corresponding to (34), (35), (36), and (32d), we have

$$(37) \quad \begin{aligned} B'(C^0 - D^0)(C' - D') + B''(C^0 - D^0) &= E, \\ 3B'^2 + 6B'(C^0 + D^0) + 4B''C'D' &= F, \\ B''^2 + 3B^0 &= G, \\ T_6 &= 4l^2mp^3 - 3l^2r^2p^2 + 6p^2lqrs - 12mrpq^3 + 8q^3r^3 - 27p^2q^2s^2, \end{aligned}$$

where

$$\begin{aligned} E &= \frac{1}{3} [81S_4/(C' - D')^2 - 6(C^0 - D^0)(C'D^0 - C^0D')] \\ &\quad + \frac{1}{4}(C' - D')(H_2 - H_2') + C'D'(C' - D')^2, \\ F &= (D'H_2 - C'H_2')/(C' - D') + 4C'D'(C' + D') - 3(C^0 + D^0)^2, \\ G &= \frac{1}{(4C' - 4D')} [H_2 - H_2' + 4C'D'(C' - D')], \\ l &= C^0 - D^0, \quad m = B^0(C' - D') - B'(C^0 - D^0), \\ p &= -\frac{1}{3}(C' - D'), \quad q = -\frac{1}{3}(C' - D'), \\ r &= -\frac{1}{3}B''(C' - D'), \quad s = \frac{1}{3}[B''(C^0 - D^0) + 2C'D^0 - 2C^0D']. \end{aligned}$$

Equations (37) determine the invariants B^0, B', B'' uniquely. A practical method for determining them is to calculate the two solutions of the first three equations of (37) and retain only the one which satisfies the fourth relation.

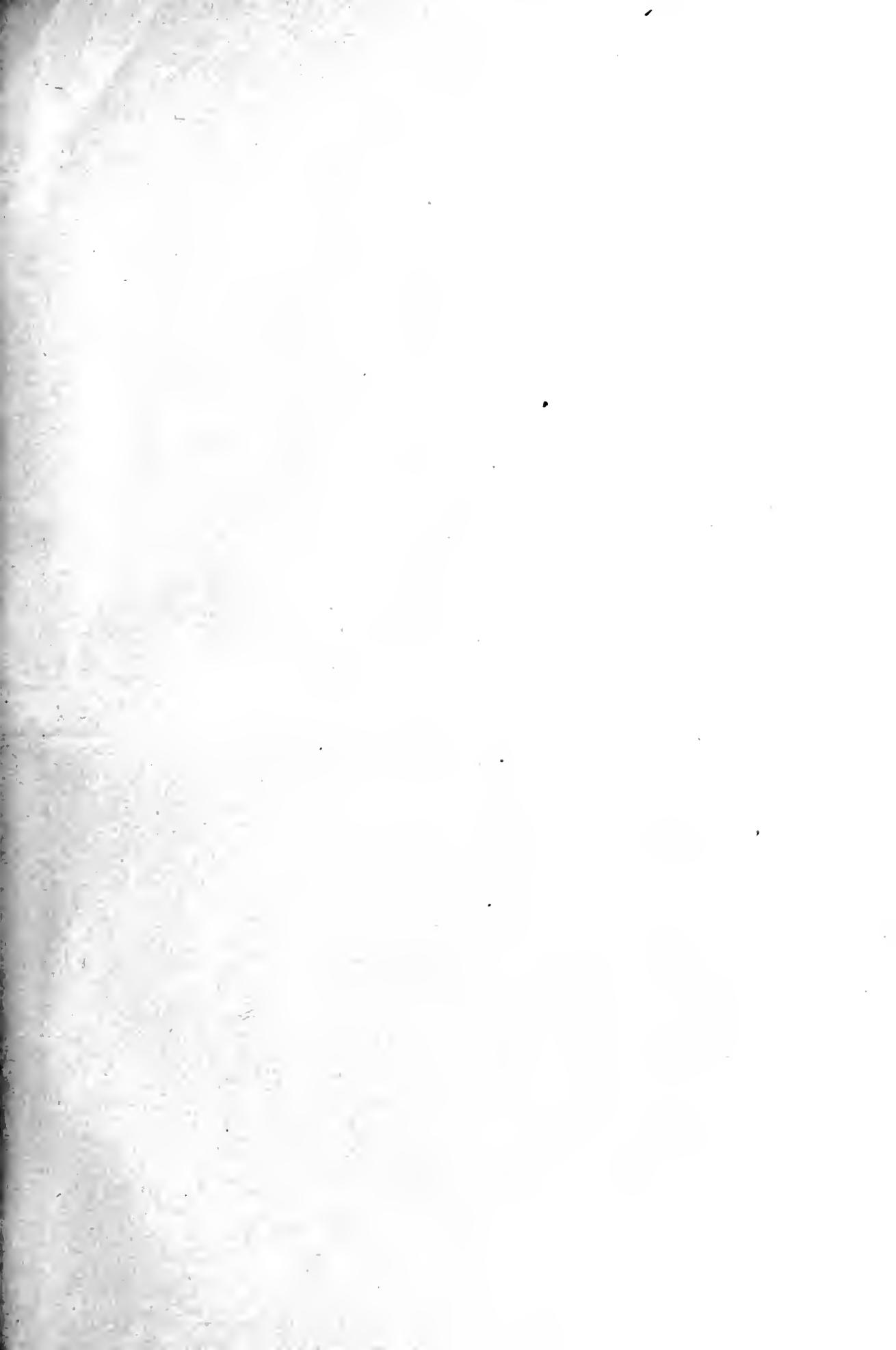
Thus the invariants of the linear algebra (14) which we have found are sufficient to isolate and characterize the generic case.

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VITA

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The writer wishes to express his gratitude to all the members of the mathematics department, and especially to Professor L. E. Dickson under whose direction this thesis was written.



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